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**Programa de Pós-Graduação em Biologia Celular e Molecular**

**DISSERTAÇÃO DE MESTRADO:**

Estudo das variantes polimórficas -786T>C e 894G>T (Glu298Asp) do gene que codifica para a sintase do óxido nítrico endotelial (eNOS) e a ocorrência de sepse, choque séptico e disfunções orgânicas em pacientes com condições críticas de saúde.

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## SUMÁRIO

| <b>Assunto</b>  | <b>Página</b> |
|---|---------------|
| <b>RESUMO</b>   | <b>3</b>      |
| <b>FUNDAMENTAÇÃO TEÓRICA</b>                                  | <b>4</b>      |
| 1 – Paciente crítico internado em UTI                         | 4             |
| 2 – Sepsis e óxido nítrico                                    | 6             |
| 3 – Conceitos fundamentais para a definição do quadro séptico | 9             |
| 4 – A fisiopatologia da sepsis                                | 11            |
| 5 – Os estágios do quadro séptico                             | 13            |
| 6 - O gene da eNOS  | 14            |
| 7 - Referências Bibliográficas                                | 16            |
| <b>JUSTIFICATIVA E OBJETIVO</b>                               | <b>24</b>     |
| <b>MANUSCRITO DO TRABALHO EXPERIMENTAL</b>                    | <b>25</b>     |
| Title of the manuscript                                       | 25            |
| Abstract  | 28            |
| Introduction  | 29            |
| Patients and Methods  | 31            |
| Results   | 34            |
| Discussion  | 38            |
| Acknowledgement   | 42            |
| References  | 42            |
| Table 1   | 48            |
| Table 2   | 49            |
| Figure 1  | 50            |
| Figure 2  | 51            |
| Figure 3  | 52            |
| Figure 4  | 53            |
| <b>CONSIDERAÇÕES FINAIS</b>                                   | <b>54</b>     |

## RESUMO

**Objetivo:** Investigar se há associação entre as variantes polimórficas -786T>C e 894G>T (Glu298Asp) do gene que codifica para a sintase do óxido nítrico endotelial (eNOS) e a ocorrência de sepse, choque séptico e disfunções orgânicas em pacientes com condições críticas de saúde. **Pacientes e Métodos:** Foram selecionados para esse estudo 207 pacientes críticos internados na unidade de tratamento intensivo geral (UTI) do Hospital São Lucas da PUCRS, admitidos de março de 2002 a dezembro de 2005. O grupo controle foi constituído por 149 doadores voluntários saudáveis oriundos da mesma população. A disfunção orgânica dos pacientes sépticos foi avaliada durante a primeira semana após admissão na UTI, através do escore SOFA, e foram consideradas as ocorrências de sepse, choque séptico e óbito. Os genótipos das variantes polimórficas -786C>T e 894G>T foram determinados por análise de fragmentos de restrição dos produtos da reação em cadeia da polimerase (PCR). Após, foi analisada a frequência da distribuição dos genótipos e dos alelos entre os grupos de pacientes e de indivíduos saudáveis. **Resultados:** A frequência de portadores do alelo -786C entre os pacientes críticos e pacientes sépticos foi significativamente mais alta do que entre os voluntários saudáveis (58% vs 46%;  $P=0,021$ , OR=1.64, CI<sub>95%</sub>: 1.05-2.57, e 60% vs 46%;  $P=0,012$ , OR=1.82, CI<sub>95%</sub>: 1.10-3.01, respectivamente). Homozigotos -786CC tiveram significativamente um maior grau de disfunção orgânica, medido pelo escore SOFA durante a crucial primeira semana de internação na UTI ( $P=0,001$ ) sem, no entanto, haver diferença significativa na taxa de mortalidade. Homozigotos 894TT foram mais frequentes entre os pacientes críticos e pacientes sépticos do que entre indivíduos saudáveis (25% vs 14%;  $P=0,017$ , OR=0.49, CI<sub>95%</sub>: 0.25-0.92, e 25% vs 14%;  $P=0,024$ , OR=0.46, CI<sub>95%</sub>: 0.22-0.96, respectivamente). Por outro lado, portadores do alelo 894G tiveram escores SOFA significativamente mais altos ( $P=0,028$ ) e não houve relação significativa com a mortalidade. Detectou-se a presença significativamente superior do duplo-homozigoto -786CC/894TT no grupo de pacientes ( $P=0,002$ ) se comparada ao grupo de sujeitos saudáveis, e o duplo-homozigoto 786TT/894GG significativamente mais frequente no grupo de voluntários ( $P=0,002$ ) do que no grupo de pacientes. **Conclusão:** Ambas variantes polimórficas -786C>T e 894G>T da eNOS podem estar associadas com um maior risco de suscetibilidade ao desenvolvimento de condições clínicas críticas mais graves, à sepse e ao choque séptico.

## FUNDAMENTAÇÃO TEÓRICA

### 1 - Pacientes críticos internados em Unidade de Terapia Intensiva (UTI)

Os pacientes internados em Unidades de Terapia Intensiva (UTI) caracterizam-se por apresentarem um quadro patológico crítico e complexo decorrente de fragilidades fisiológicas graves e responsáveis pela elevada taxa de mortalidade que varia de 30% a 50% (Vincent *et al.*, 2002). Nos últimos 20 anos, vários instrumentos de medida de predição de risco têm sido aplicados aos pacientes críticos internados em UTIs na tentativa de reconhecer as melhores estratégias terapêuticas.

A avaliação do quadro crítico, nos dias de hoje, é principalmente realizada através de instrumentos que analisam a disfunção de órgãos e sistemas através do monitoramento diário de seu estado fisiológico. O escore SOFA (*Sequential Organ Failure Assessment*) avalia diariamente a condição de seis sistemas orgânicos (respiratório, renal, hepático, hematopoiético, cardiovascular e neurológico), independentemente da terapia à qual o paciente está sendo submetido (Vincent *et al.*, 1998).

Dado que pacientes de UTIs são indivíduos afetados por múltiplas disfunções orgânicas e que, além disso, estão expostos ao ambiente hospitalar o qual é rico em diversidade de microorganismos infecciosos, o risco de que esses pacientes venham a desenvolver uma infecção é muito elevado. Uma parcela expressiva de pacientes desenvolve infecção bacteriana sendo que cerca de 60% acaba por desencadear quadros de sepse, choque séptico, disfunção e falência de múltiplos órgãos. O quadro de sepse é consequência de processos celulares em resposta a uma agressão de origem infecciosa. A manifestação clínica da sepse pode agravar-se chegando a um quadro de choque, o qual caracteriza-se pela presença de

vasodilatação periférica acentuada e por excessiva presença de agentes pró-inflamatórios que, juntos, acentuam ainda mais a disfunção e a falência de múltiplos órgãos.

Detectam-se evidências de disfunção muito antes da falência de órgãos, resultado da reação inflamatória pela massiva liberação de citocinas. A resposta sistêmica à infecção é mediada através das citocinas derivadas de macrófagos que alvejam os receptores da extremidade-órgão em resposta a ferimento ou infecção (Bone *et al.*, 1997; Haddad *et al.*, 2002). Tal resposta inflamatória à infecção ou ao ferimento é uma reação altamente conservada e regulada do organismo. A liberação concomitante de agentes pró-inflamatórios e antiinflamatórios mantém a homeostasia do organismo. A reação antiinflamatória pode ser maior e algumas vezes mais longa que a pró-inflamatória; o objetivo disto é diminuir a síntese de agentes pró-inflamatórios, conservando o equilíbrio homeostático (Bone *et al.*, 1997; Haddad *et al.*, 2002).

Apesar dos inúmeros progressos obtidos nas últimas décadas na tentativa de se dar suporte ao paciente crítico com foco infeccioso e sepse, a mortalidade nesse grupo tem-se mantido na faixa de 50% (Friedman *et al.*, 1998).

Sendo a sepse uma condição freqüente no âmbito da terapia intensiva, que causa elevada taxa de mortalidade e tem tratamento com custo muito alto, sua abordagem é de interesse direto do sistema de saúde. O estudo da sepse deve contribuir para os levantamentos epidemiológicos e pautar-se por uma abordagem direcionada para o conhecimento dos mecanismos celulares e moleculares que desencadeiam as variações fisiopatológicas. Esse conhecimento básico poderá contribuir para a modulação da seqüência de eventos que culmina nos desfechos desfavoráveis. Nesse sentido, conhecer as bases genéticas de tais eventos é condição fundamental.

## **2 – Sepse e óxido nítrico**

### **2.1 Sepse**

A sepse caracteriza-se por uma resposta inflamatória sistêmica que é crucial para a disfunção múltipla de órgãos (Anderson, 2005). Tal resposta é causada após uma infecção bacteriana que ativa o sistema imunológico e, mesmo com os recentes avanços no tratamento intensivo e descoberta de novos antibióticos, ela ainda permanece associada a uma alta taxa de mortalidade (Anderson, 2005; Hubacek *et al.*, 2001).

Nos anos 90, a sigla SIRS (Síndrome Sistêmica da Resposta Inflamatória) foi definida para fazer referência à resposta imunológica sistêmica causada por episódios inflamatórios (Karima *et al.*, 1999). A sepse é considerada SIRS induzida por infecção e, se o quadro não for revertido, pode evoluir para choque séptico (hipotensão refratária) e óbito por disfunção sistêmica (MODS) seguida de falência múltipla de órgãos (MOF) (Karima *et al.*, 1999).

O controle do fluxo sanguíneo é feito pela interação de substâncias vasoconstritoras e vasodilatadoras (Berthold *et al.*, 1999). Durante a evolução do quadro séptico é crucial o monitoramento dos níveis de oxigênio nos pacientes, sendo o aporte desse gás, nos níveis da macro e da microcirculação, essencial para que não haja morte tecidual por necrose (Morisaki *et al.*, 2004).

O entendimento da regulação fisiológica, bem como dos fatores envolvidos no controle do tônus vascular, tanto genéticos quanto bioquímicos faz-se importante para a compreensão da fisiologia da sepse.

### **2.2 Óxido Nítrico**

O óxido nítrico (NO) é um poderoso agente vasodilatador sintetizado a partir da oxidação da L-arginina pela ação da enzima sintase do óxido nítrico (NOS) (Afrasyap *et al.*, 2004). São atribuídas ao NO funções como inibição da ativação

plaquetária, indução do crescimento e proliferação das células da musculatura lisa, indução de adesão leucocitária e oxidação das lipoproteínas de baixa densidade (LDLs) (Afrasyap *et al.*, 2004; Colombo *et al.*, 2002).

Existem três isoformas de enzimas denominadas Sintases do Óxido Nítrico (NOS): endotelial (eNOS), induzível (iNOS) e neuronal (nNOS) (Moncada *et al.*, 1991). As isoformas endotelial e neuronal são expressas constitutivamente e ativadas pelo aumento de íons cálcio no meio intracelular, estando envolvidas no controle do tônus vascular e na transmissão de sinais (Moncada *et al.*, 1991). A iNOS é expressa em resposta à liberação de citocinas pró-inflamatórias quando na presença de uma infecção. Acredita-se que ela seja responsável pela grande quantidade de NO liberado, o que pode levar à lesão tecidual e MODS (Fatini *et al.*, 2004; Knowles *et al.*, 1992; Gomez-Jimenez *et al.*, 1995).

A meia vida da molécula de NO é muito curta, convertendo-se assim, rapidamente, em nitrito ( $\text{NO}_2^-$ ) e nitrato ( $\text{NO}_3^-$ ), metabólitos que são utilizados como marcadores para os níveis plasmáticos de NO e que causam dano oxidativo por atuarem como radicais livres (Afrasyap *et al.*, 2004; Kim *et al.*, 2003; Levecque *et al.*, 2003).

Durante a endotoxemia foi evidenciada grande concentração de nitrato no plasma e na urina de pacientes com sepse. Constatou-se, também, que esses níveis aumentam quando o paciente desenvolve choque séptico. Isso evidencia uma relação clínica quantitativa e qualitativa entre a produção de NO, endotoxemia, disfunção hemodinâmica e MODS no choque séptico (Kilbourn *et al.*, 1993).

O aumento de NO está associado com peroxidação lipídica, causando alterações celulares e nitrosilação protéica, responsável pela alteração da funcionalidade das proteínas (Levecque *et al.*, 2003).

A relevância da superprodução de NO e o desenvolvimento de choque séptico têm sido demonstrada na utilização de inibidores da NOS e agentes que bloqueiam a expressão da iNOS como o L-NMMA (N<sup>ω</sup>-metil-L-arginina) que promoveu a restauração da pressão sanguínea revertendo a hipotensão causada por endotoxinas devido ao aumento da resposta a vasoconstritores. Esses inibidores também melhoraram a função hepática e renal em modelos animais quando tratados com LPS, LTA e peptidoglicanos (Salkowski *et al.*, 1997).

Estudos de indução de sepse em ratos com LTA demonstram que a expressão do mRNA da eNOS aumenta consideravelmente (Rackow *et al.*, 1991).

O NO também é considerado um mensageiro biológico por estimular quinases dependentes de GMPc e aumentar a expressão da enzima guanilato ciclase (Noiri *et al.*, 2002; Novoradowsky *et al.*, 1999). Muitos estudos estão sendo realizados tentando elucidar a relação de polimorfismos genéticos e predisposição a doenças. Novas tecnologias vêm contribuindo para identificação dessas variantes polimórficas (Naber *et al.*, 2001), e sempre que se analisam as bases genéticas ou a origem molecular de uma desordem deve-se levar em conta o ambiente, a etnia e a genética individual.

Estima-se que mais de 400 genes estejam envolvidos em processos como função endotelial e inflamação (Doevedans PA, *et al.*, 2001). No que se refere ao tônus vascular, uma maior compreensão acerca do controle do mesmo é de fundamental importância em um quadro de infecção sistêmica e pode influenciar no desfecho do paciente, visto que uma vasodilatação em excesso pode conduzir ao choque.

Inibidores da eNOS como o L-NAME (N<sup>G</sup>-nitro-L-arginina metil ester) induzem hipertensão e o aparecimento de lesões ateroscleróticas que podem ser revertidas



pela administração de L-arginina (Hingorami *et al.*, 1999; Hibi *et al.*, 1998; Benjafield *et al.*, 2000).

Mediadores inflamatórios como TNF- $\alpha$ , IL-1 e IFN- $\gamma$  estimulam a produção de NO. Células tumorais tratadas *in vitro* com estes mediadores, tiveram induzida a sua produção de NO que induziu apoptose nas mesmas (Hingorami *et al.*, 1999).

Considerando que durante o desenvolvimento da sepse, tais mediadores imunológicos são liberados pelo corpo em resposta a uma infecção (Anderson, 2005), pesquisas acerca do NO fazem-se importantes no sentido de buscar elucidar a sua relação, como um desses mediadores, durante o quadro de SIRS.

### **3 – Conceitos fundamentais para a definição do quadro séptico**

A compreensão da fisiologia e dos mecanismos moleculares da sepse tem sido foco de muitos estudos durante a última década. Responsável por 30 milhões de mortes anuais em todo o mundo, as infecções severas, como a sepse, são consideradas as principais causas dos óbitos em Unidades de Tratamento Intensivo onde, segundo as estatísticas, o quadro clínico de 40% dos pacientes internados evolui para choque séptico (López-Bojórquez *et al.*, 2004).

O episódio séptico leva em conta inúmeros fatores. Ele pode atingir indivíduos de qualquer idade ou população, mas sempre tem início por um processo infeccioso (geralmente bacteriano) que, por sua vez, desencadeia uma inflamação sistêmica denominada SIRS (Síndrome Sistêmica da Resposta Inflamatória) (López-Bojórquez *et al.*, 2004; Levy *et al.*, 2003; Friedman *et al.*, 1998; Karima *et al.*, 1999).

Considera-se infecção todo e qualquer processo patológico causado por uma invasão de patógenos em tecidos estéreis, fluidos ou cavidades corporais (Levy *et al.*, 2003). Essa inflamação pode provocar uma bacteremia, a qual consiste na presença de atividade bacteriana no sangue. O sistema imunológico reconhece os patógenos pela interação das moléculas extracelulares. Bactérias Gram-negativas

são reconhecidas pelo CD14 (*Cluster of Differentiation* 14), um receptor de monócitos, macrófagos e neutrófilos (Hoesel *et al.*, 2004), através de suas endotoxinas: as LPS (lipopolissacarídeos). As bactérias Gram-positivas por suas moléculas de LTA (ácido lipoteicoico) e, em uma eventual infecção por fungos, os ergosteróis presentes em sua parede celular são moléculas alvo na ativação do sistema imunológico (López-Bojórquez *et al.*, 2004).

A partir desse quadro fisiopatológico, o paciente pode evoluir para sepse, sepse severa, SIRS, choque séptico e disfunção/falência de múltiplos órgãos (MODS/MOF) (López-Bojórquez *et al.*, 2004; Levy *et al.*, 2003; Friedman *et al.*, 1998).

A SIRS é diagnosticada pelos seguintes parâmetros clínicos: (I) Febre, temperatura corporal > 38°C ou hipotermia, temperatura corporal < 36°C; (II) Taquicardia – frequência cardíaca > 90 bpm; (III) Taquipnéia – frequência respiratória > 20 irpm ou PaCO<sub>2</sub> < 32 mmHg; (IV) Leucocitose ou leucopenia – Leucócitos > 12.000 cels/mm<sup>3</sup> ou < 4.000 céls/mm<sup>3</sup>, ou presença de > 10% de formas jovens (bastões) (Akira 2000; Takeuchi *et al.*, 2001; Medzhitov *et al.*, 2001).

A síndrome da disfunção de múltiplos órgãos consiste na deterioração generalizada (falência metabólica) de órgãos, aumento do metabolismo basal, alteração na homeostase e decréscimo rápido da massa corporal) (López-Bojórquez *et al.*, 2004).

#### 4 – A fisiopatologia da sepse

O sistema imunológico é muito complexo. O entendimento de como ele reconhece o próprio, o não próprio, inibe resposta auto-imune e ao mesmo tempo permite a reação do hospedeiro contra os invasores é o ponto chave da imunologia (Levy *et al.*, 2003; López-Bojórquez *et al.*, 2004).

A partir do momento em que uma bactéria (ou qualquer outro patógeno) entra no organismo, monócitos e macrófagos são ativados e recrutados para o reconhecimento dos antígenos bacterianos (Karima *et al.*, 1999; Netea *et al.*, 2003; Fujihara *et al.*, 2003). Tal reconhecimento é feito pela interação das moléculas extracelulares bacterianas com receptores dessas células (CD14 e proteínas glicosiladas em macrófagos) (Karima *et al.*, 1999; Netea *et al.*, 2003; Fujihara *et al.*, 2003). Existe também um grupo de receptores, os *toll-like receptors* (TLR), que participam dessa etapa de reconhecimento dos antígenos (Karima *et al.*, 1999; Netea *et al.*, 2003; Fujihara *et al.*, 2003). Entre a variedade de TLRs, a descrição de alguns exemplos deles é facilmente encontrada na literatura: o TLR-4 que reconhece LPS e o TLR-2 que reconhece LTA e peptidoglicanos (Netea *et al.*, 2003). O LTA é componente da parede celular de bactérias gram-positivas (Wang *et al.*, 2000). Além deles, o TLR-9 reconhece e liga-se ao DNA bacteriano (Takeuchi *et al.*, 2001; Medzhitov *et al.*, 2001; Wang *et al.*, 2000; Netea *et al.*, 2003; Titheradge *et al.*, 1999; Moncada *et al.*, 1991). Após o reconhecimento dos patógenos, macrófagos e monócitos desencadeiam uma comunicação intracelular que ativa a síntese de fatores de transcrição como o fator nuclear de transcrição NFκB (*Nuclear Factor κB*) e o NF-IL-6 (*Nuclear Factor interleucina-6*) envolvidos na transcrição de inúmeras citocinas. Assim, são sintetizadas e secretadas citocinas como TNF-α (fator de necrose tumoral alfa), IL (interleucina)-1β, IL-6 e IL-8, IL-12 e IFN- γ (interferon γ). As citocinas são fatores solúveis que atingem outros tipos celulares promovendo

alterações internas em tais células além de ativarem linfócitos T e células CD4 que também secretam tais substâncias e participam no combate de células tumorais (Levy *et al.*, 2003; Akira 2000; Carreras *et al.*, 2004; López-Bojórquez *et al.*, 2004; Hoesel *et al.*, 2004; Knowles *et al.*, 1992; Gómez-Jimenez *et al.*, 1995). Consta também na literatura que o TNF- $\alpha$  e a IL-1 afetam diretamente a contractibilidade do miocárdio (Gómez-Jimenez *et al.*, 1995; Carreras *et al.*, 2004).

Além das citocinas, também ocorre liberação de óxido nítrico (NO) que causará hipotensão (relaxamento endotelial) e ativação de fatores de agregação plaquetária (PAF) (Hoesel LM, *et al.*, 2004). A atividade destes compostos pode conduzir à coagulopatia o que dificulta a perfusão tecidual determinando uma seqüência de eventos que podem levar a MODS (Figura 1) (Gomez-Jimenez J, *et al.*, 1995).

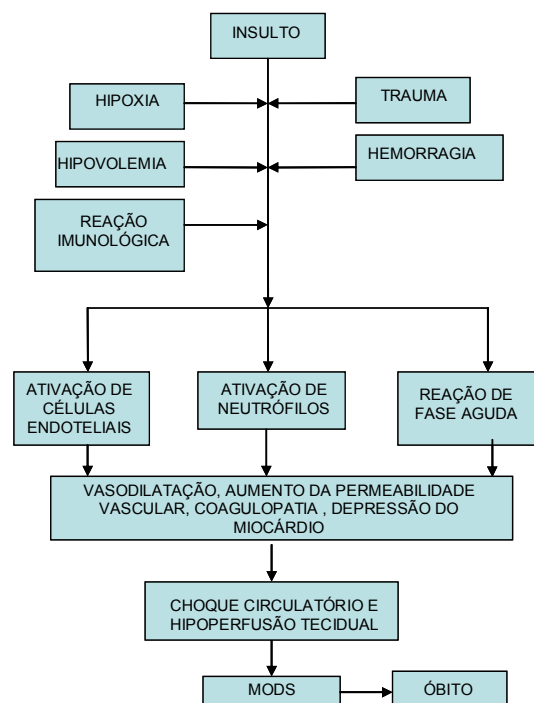


Figura 1: Seqüência de eventos inflamatórios passando pela SIRS, MODS e culminando com óbito (Gomez-Jimenez *et al.*, 1995).

## **5 - Os estágios do quadro séptico:**

Muitas vezes podemos observar no quadro séptico o aparecimento de estágios progressivos e complexos causadores de desordens no sistema imunológico, desencadeados pela infecção. Existem alguns fatores que podem levar a SIRS: infecção, trauma, queimaduras, isquemia, reperfusão e inflamação. Tal síndrome é caracterizada por um desequilíbrio no sistema imunológico (hiperatividade ou hipoatividade) (Knowles *et al.*, 1992).

### **5.1 - O estágio inicial da sepse (fase hiperdinâmica).**

Caracteriza-se pela ativação intensa de citocinas pró e antiinflamatórias. Essa fase é marcada pela produção de interleucinas (IL-1, IL-6, IL-8) e do fator de necrose tumoral TNF- $\alpha$ . Também há aumento nos níveis de proteína C-reativa que acredita-se ser responsável pela ativação das espécies reativas de oxigênio e pela produção de óxido nítrico (Hoesel *et al.*, 1994; Knowles *et al.*, 1992).

### **5.2 - O estágio tardio da sepse (fase hipodinâmica).**

Essa etapa é marcada pela liberação das interleucinas IL-10 e IL-13 para conter o efeito pró-inflamatório da fase hiperdinâmica, suprimindo a ativação do NF $\kappa$ B. Há também um fenômeno conhecido como CARS que consiste em uma resposta inflamatória compensatória. No final desse estágio há diminuição da fagocitose dos macrófagos, quimiotaxia, produção de citocinas e de radicais livres (Baghat *et al.*, 1999).

## 6 – O gene da eNOS

O gene da eNOS está localizado no *locus* 7q35-36, contendo 26 exons totalizando 21kb onde já foram localizadas algumas variações polimórficas (Figura 2). Na região promotora encontram-se, pelo menos, três SNPs: -1468T>A, 922A>G e -786C>T. Foram detectadas, pelo menos, quatro mutações intrônicas: 18 27A>C no intron 1, repetições CA no intron 13, 10G>T no intron 23 e um VNTR (nucleotídeos variados repetidos em tandem) no intron 4. No exon 7 existe um SNP na posição 894G>T (Afrasyap *et al.*, 2004; Kim *et al.*, 2003; Bilsborough *et al.*, 2003; Salkowski *et al.*, 1997).

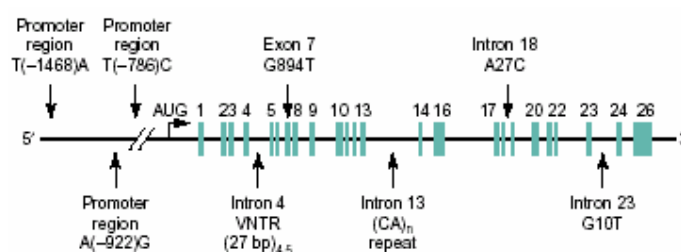


Figura 2: Polimorfismos existentes no gene da eNOS (Carreras *et al.*, 2004).

O polimorfismo do exon 7 (894G>T) resulta na modificação no resíduo 298 da proteína final que consiste numa substituição de um ácido glutâmico por um ácido aspártico (Glu298Asp) e seus genótipos estão associados a diferenças na capacidade de vasodilatação endotelial. O alelo 894G, por ter uma eNOS supostamente mais funcional, vem sendo associado a uma maior vasodilatação quando comparado ao alelo 894T, que possui uma relatada menor atividade da eNOS (Afrasyap *et al.*, 2004).

Em ratos *knock-out* para o gene de eNOS há uma maior incidência de doenças tromboembólicas e menor resposta à acetilcolina (Noiri *et al.*, 2002; Bucher

*et al.*, 1997). A inibição ou deterioração da eNOS eleva o fluxo sanguíneo em humanos levando à hipertensão arterial (Salkowski CA, *et al.*, 1997). Em indivíduos com tumor prostático, pacientes 894GG, apresentaram um maior número de metástases disseminadas pelo organismo devido a uma maior vasodilatação que os indivíduos 894TT (Bilsborough *et al.*, 2003).

Estão associadas ao genótipo 894TT patologias como doença arterial coronariana (CAD), espasmo coronariano, aterosclerose e aterogênese, além de outras alterações patológicas pela razão de o alelo 894T ser menos funcional, quando comparado ao 894G (Colombo *et al.*, 2002; Hingorami *et al.*, 1999; Harkema *et al.*, 1990; Rackow *et al.*, 1991; Brown *et al.*, 1997).

Estuda-se, porém, se o SNP 894G>T é de fato o responsável pelas alterações fisiológicas da eNOS ou se ele representa apenas um marcador estando ligado a outra variante polimórfica que seria a real causadora da alteração na eNOS e, conseqüentemente, da susceptibilidade às patologias (Benjafeld *et al.*, 2000; Bilsborough *et al.*, 2003; Poderoso *et al.*, 1996).

Além do polimorfismo do exon 7, existe um outro SNP que tem sido foco de muitas pesquisas no estudo da eNOS: o SNP -786C>T localizados na região promotora da eNOS. Foi sugerido que essa mutação reduz em até 50% da atividade promotora o que poderia influenciar de maneira direta na expressão do gene (Castro L, *et al.*, 1994; Sandrim VC, *et al.*, 2006). Assim o alelo -786C poderia ser responsável por uma diminuição nos níveis séricos de NO.

Alguns pesquisadores têm observado que essa diminuição não é significativa. Em asiáticos o SNP -786C>T está associado com espasmo coronariano e enfarte do miocárdio (Jo *et al.*, 2006; Yoon *et al.*, 2005). Por outro lado essa associação não se encontra em franceses e australianos (Granath *et al.*, 2001). Em um estudo sobre a

influência dos haplótipos da eNOS com hipertensão, verificou-se que novamente o alelo -786C seria a causa da atividade promotora deficiente (Sandrim *et al.*, 2006).

Em italianos foi comprovada a relação desses dois SNPs com a incidência e a severidade de CAD. De acordo com os pesquisadores, as pessoas que carregam o alelo -786C do polimorfismo -786C>T e o alelo 894T do polimorfismo 894G>T constituem uma população de alto risco para o desenvolvimento de CAD (Colombo *et al.*, 2003).

Acreditamos que a eNOS pode estar de alguma forma relacionada com o quadro clínico do paciente crítico devido ao seu papel fisiológico no controle do tônus vascular. A partir disso, o estudo de seus polimorfismos pode ser uma ferramenta clínica para o prognóstico destes pacientes e futuramente pode conduzir os médicos à realização de um tratamento mais específico baseado nas características genéticas individuais.

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## JUSTIFICATIVA E OBJETIVO

A condição crítica de um paciente, a gravidade de suas disfunções orgânicas, a evolução para sepse, choque séptico ou para o óbito são características complexas determinadas pela interferência simultânea de centenas ou milhares de fatores externos e de fatores herdados. O estudo das variantes polimórficas do gene que codifica para a enzima que sintetiza constitutivamente o óxido nítrico no endotélio vascular (eNOS; sintase do óxido nítrico endotelial) pode ser útil na busca de desvendar parte do pequeno efeito que a herança genética pode ter no momento da doença crítica. Nosso objetivo neste trabalho foi, portanto, responder à pergunta que questiona se as variantes polimórficas -786T>C e 894G>T (Glu298Asp) do gene que codifica para a eNOS podem influenciar a susceptibilidade à sepse, ao choque séptico e às disfunções orgânicas em pacientes em condições críticas de saúde.

## **MANUSCRITO COM RESULTADOS DO TRABALHO EXPERIMENTAL**

### **TITLE OF THE MANUSCRIPT**

Single nucleotide polymorphisms of endothelial nitric oxide synthase (eNOS) and susceptibility to sepsis, septic shock and organ dysfunction.

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**Index words:**

eNOS polymorphism; -786C>T SNP; 894G>T SNP; genetic risk factors; sepsis;  
septic shock; organ dysfunction.

**Periodic chosen for submission:**

Intensive Care Medicine (ICM)

### **Abbreviations:**

A - Adenine  
AN - ANOVA test  
APACHE-II - Acute Physiology and Chronic Health Evaluation II  
Asp – Aspartate  
C – Cytosine  
CD14 – Cluster of differentiation 14  
DNA - Deoxyribonucleic acid  
eNOS – endothelial nitric oxide synthase  
G - Guanine  
Glu – Glutamate  
Hosp – hospital  
HSL - Hospital São Lucas  
ICU - Intensive Care Unit  
IFN- $\gamma$  – interferon-  $\gamma$   
IL-1 - interleukin-1  
LOS - length of stay  
LPS - lipopolysaccharide  
mRNA – Messenger ribonucleic acid  
MW - mann-whitney *U*-test  
nNOS – neuronal nitric oxide synthase  
NO – nitric oxide  
NOS – nitric oxide synthase  
PCR - Polymerase chain reaction  
PMNs – Polymorphonuclear neutrophils  
PUCRS - Pontifícia Universidade Católica do Rio Grande do Sul  
RFLP – Restriction Fragment Length Polymorphism  
SD - standard deviation  
SNP – Single nucleotide polymorphism  
SNP - single nucleotide polymorphisms  
SOFA - Sequential Organ Failure Assessment  
SOFA1 - SOFA score at day 1, ICU admission  
SOFA2 - SOFA score at day 2  
SOFA3 - SOFA score at day 3  
SOFA4 - SOFA score at day 4  
SOFA5 - SOFA score at day 5  
SOFA6 - SOFA score at day 6  
SOFA7 - SOFA score at day 7  
T - Timine  
TLR - Toll-like receptor  
TNF- $\alpha$  - tumor necrosis factor-alpha  
 $\chi^2$  - pearson Chi-squared test

## ABSTRACT

**OBJECTIVE:** To investigate the association between two single nucleotide polymorphisms (SNPs) of endothelial nitric oxide synthase (eNOS) and susceptibility to sepsis, septic shock and organ dysfunction in critically ill patients. **PATIENTS AND METHODS:** Two hundred and seven critically ill patients admitted to the intensive care unit (ICU) of São Lucas Hospital - RS, Brazil, from March 2002 to December 2005 were enrolled for study. 149 healthy volunteer DNA donors from the same population were genotyped control group. The organ dysfunction of septic patients was evaluated during the first week after ICU admission, and sepsis, septic shock, and mortality in ICU and hospital were considered. Genotypes of -786T>C and 894G>T (Glu298Asp protein alteration) SNPs were determined in patients and healthy controls by PCR-RFLP. Genotypic and allelic frequencies were analyzed. **RESULTS:** The frequency of -786C allele carriers in patients was significantly higher than those of healthy subjects (58% vs 46%;  $P=0.021$ , OR=1.64, CI<sub>95%</sub>: 1.05-2.57, and 60% vs 46%;  $P=0.012$ , OR=1.82, CI<sub>95%</sub>: 1.10-3.01, respectively). -786CC homozygote individuals had a significantly higher organ dysfunction measured by SOFA scores during first ICU week ( $P=0.001$ ), although the presence of the -786C allele was not related with different mortality rates. We detected more 894TT homozygotes among critically ill and septic shock patients as compared to healthy individuals (25% vs 14%;  $P=0.017$ , OR=0.49, CI<sub>95%</sub>: 0.25-0.92, and 25% vs 14%;  $P=0.024$ , OR=0.46, CI<sub>95%</sub>: 0.22-0.96, respectively). The 896G allele carriers had significantly higher SOFA scores to organ dysfunction ( $P=0.028$ ), even though the inheritance of this allele did not affect the mortality. We found a significant higher presence of -786CC/894TT individuals among critically ill and septic patients than in healthy individuals ( $P=0.002$ ), and the opposite double homozygote 786TT/894GG with higher frequency among healthy volunteers ( $P=0.002$ ) if compared with patient group. **CONCLUSION:** Both the -786C>T and 894G>T eNOS SNPs may be associated with higher risk of susceptibility to development of critical clinical conditions, sepsis, and septic shock.

## INTRODUCTION

Nitric oxide (NO) is an endogenous nitro-vasodilator regulated locally and the most basic and fundamental mechanism for adaptation of the vascular system [1,2]. This potent free radical vasodilator is produced from L-arginine by three different isoforms of NO synthase (NOS), two of which are expressed constitutively (eNOS in endothelium, nNOS in brain), while the third (iNOS) is induced in immunological system cells [3,4]. All three similar synthases consist of a heme domain, to which the substrate L-arginine binds, as well as tetrahydrobiopterin and Zn [5]. eNOS differs from iNOS in that its activity is dependent on the presence of calcium and calmodulin [5].

Constitutive proteins (eNOS and nNOS) are produced in response to physiological stimuli that trigger an intracellular  $Ca^{2+}$  signal; they synthesize NO rapidly and transiently at low concentrations [6]. Low-level NO produced by eNOS and nNOS is pro-inflammatory by inducing vasodilatation and the recruitment of neutrophils [6,7]. Constitutive endothelial NO, produced by eNOS in platelets and endothelial cells, plays a crucial role in the regulation of systemic vascular tone and in maintaining the functional and structural integrity of the vessel wall [8-10].

iNOS is not expressed in resting cells but is induced by immunological stimuli such as bacterial lipopolysaccharide (LPS) or cytokines such as IL-1, TNF- $\alpha$ , or IFN- $\gamma$ , and produces NO at constant high levels [6]. At high concentrations it down-regulates adhesion molecules, suppresses activation, and induces apoptosis of inflammatory cells [7]. iNOS mRNA expression is activated in circulating polymorphonuclear neutrophils (PMNs) of patients with sepsis [4]; During sepsis and septic shock the excessive production of NO from iNOS gene over-expression,

following the cytokine-dependent induction, is essential to ensure the perfect immunological response [11], but it results in a progressive reduction of circulation flow to provide blood and oxygen to vital organs, producing an impaired tissue perfusion and oxygen extraction [12-14]. Septic patients have, consequently, organ dysfunction and failure attributable to a combination of excessive inflammation, disseminated coagulopathy, and disruption of integrity of micro vascular endothelium [11]. The hypotension and the vascular collapse present during septic shock may lead to critical organic condition, organ dysfunction or failure, and frequently death [12,14]. Sepsis followed by severe fall in blood pressure (septic shock) is a major cause of death among critically ill patients, with a mortality rate of 40-60%, despite treatment in intensive care units (ICU) [12].

At the same time that the favorable and unfavorable roles of iNOS expression in organ functions, sepsis, and endotoxic shock have being extensively documented [12-14], there remain substantial gaps in the understanding about the role of endothelial isoform of NOS in these critical clinical conditions. Even so, it is evident that changes on eNOS expression or activity might be detrimental or even lead to adverse effects [14,15].

A presumed alteration of eNOS would be explained, for instance, by reduction of the constitutive eNOS gene (*NOS3* human gene) expression or by an eNOS with changed functionality. In this way, two potentially functional cosegregating single nucleotide polymorphisms (SNPs) within the eNOS sequence could be crucial candidates to studies: -786T>C in the promoter region [16], and the guanine (G) to thymine (T) transition at position 894 (894G>T) within of eNOS gene coding region [17]. While the first seems to reduce the transcriptional eNOS level [18], the last SNP cause a substitution in the amino acid sequence of eNOS protein (Glu298Asp

missense alteration) and seems to alter protein stability [19] and predispose to or accelerate coronary diseases [20-22].

Because during the organ dysfunction, sepsis, and septic shock the integrity of vascular endothelium can be affected by variations in eNOS expression or activity, we hypothesize that these genetic polymorphisms could be important factors in determining the clinical evolution and outcome in patients in such critical circumstances. Hence, in the present study, we investigate the -786T>C and 894G>T eNOS SNPs in patients from ICU population; we describe the frequency of these two genetics variations in different scores and degrees of organ failure, sepsis, and septic shock conditions. In addition, we correlate the genotype frequencies with the risk of critical clinical condition, sepsis, and septic shock.

## **PATIENTS AND METHODS**

We studied 207 critically ill patients admitted to the General Intensive Care Unit (ICU) of the São Lucas Hospital (HSL), Brazil, between May 2002 and December 2005, and 149 healthy subjects DNA donors that were chosen serving as the normal control group. All patients and controls were from the southern Brazilian population. All patients or their answerable gave written, informed consent. Approval for human study protocols was obtained from the Research Ethics Committee of the Pontifícia Universidade Católica do Rio Grande do Sul (PUCRS) (Protocol # 05/02598).

## **Patients Data.**

A total of 207 critically ill adult patients (109 men and 98 women) admitted to the General Intensive Care Unit (ICU) at São Lucas Hospital – Pontifícia Universidade Católica do Rio Grande do Sul (HSL-PUCRS) were included in this study. We monitored the patients daily during their entire ICU and post-ICU (hospital) stay which resulted in measurements from the ICU admission day to a maximum of 224 days. Some critically ill adult patients were previously described partially by D'Avila *et al.* 2006 [23]. Patients were not eligible if they were under 18 years old diagnosed with HIV-infection, pregnant, or lactating or taking immunosuppressive drugs.

For diagnosis of sepsis and septic shock we used the American College of Chest Physicians/Society of Critical Care Medicine Consensus Conference criteria [24]: at least two of the following criteria: Fever or hypothermia (temperature in the core of the body  $> 38^{\circ}\text{C}$  or  $< 36^{\circ}\text{C}$ ); Tachycardia (ventricular rate  $> 90$  bpm); Tachypnea or Hyperventilation ( $> 20$  breaths/min or  $\text{PaCO}_2 < 32$  mmHg); Leucocytosis or Leucopenia.

For illness severity evaluation we used the APACHE-II (Acute Physiology And Chronic healthy Evaluation II) [25] score obtained on ICU admission day. The organ dysfunction and failure was evaluated using the SOFA (Sequential Organ Failure Assessment) score obtained on ICU admission day (SOFA-1) and during the first seven days following the ICU admission [26, 27]. Six different systems are evaluated regarding its clinical conditions to determine each SOFA score: cardiovascular function (systolic and diastolic blood pressure, use of vasopressors), liver function (serum bilirubin levels), respiratory ( $\text{PaO}_2/\text{FiO}_2$ ), neurological function (Glasgow coma score), coagulation function (platelet count), and renal function (serum creatinine levels, urine output). For each system, we considered without organ

dysfunction SOFA scores equal to zero, organ dysfunction scores 1 and 2, and organ failure scores 3 and 4. Total diary SOFA corresponds to a total of six systems SOFA scores.

Clinical endpoints of the study were discharge from the hospital or death. Mortality was measured in days until death. For those patients with multiple ICU admission during the study period, only data from the first entrance was considered.

### **Genotyping of -798C>T and 896G>T eNOS SNPs:**

A 5ml blood sample was collected in a sterile system with EDTA and maintained refrigerated at 4°C or frozen at -20°C until DNA extraction. Genomic DNA were isolated from leucocytes by standard procedures [28] and maintained at -20°C. Genotyping protocols for -786C>T and 894G>T (Glu298Asp) polymorphisms were previously described by Nakayama [16] and Nadaud [17], respectively. The 894G>T amplification was based in Hibi et al., 1998 [29]. Both DNA sequences were amplified in a 25 µL reaction containing 10-100 ng of DNA, 0.2 mmol/L of each dNTP, 1.5 mmol/L of MgCl<sub>2</sub> and 1.7 U Taq DNA Polymerase in Taq Buffer (Invitrogen-Life Technologies, São Paulo, Brazil). The -786C>T SNP was amplified using 10 pmol of each sense 5' TGG AGA GTG CTG GTG TAC CCC A 3'; and antisense 5' GCC TCC ACC CCC ACC CTG TC 3' primers (synthesized by Invitrogen-Life Technologies, São Paulo, Brazil) in a PTC-100 Thermocycler (MJ Research, Watertown, USA). The 894G>T SNP was similarly amplified using sense 5' TCC CTG AGG AGG GCA TGA GGC T 3' and antisense 5' TGA GGG TCA CAC AGG TTC CT 3' primers (synthesized by Invitrogen-Life Technologies, São Paulo, SP, Brazil) in the same thermocycler. Cycling conditions for both polymorphisms were 95°C for 5 min, followed by 36 cycles 94°C for 10 minutes, followed by 30 cycles at 94°C for 1 min, at 61°C for 1 min, and 72°C for 1 min. The final extension step was prolonged to 10



min. The PCR amplified products (20 µL) were cleaved, in an appropriate buffer, with 8-12U of *MspI* (5'C/CGG3'; New England Biolabs™, USA) for the -786C>T SNP; and 8-12U of the *Ban II* (5`GRGCY/C3` R= A or G and Y=C or T; New England Biolabs™, USA) for 896G>T SNP in a total volume of 25µL, at 37°C for 4 hours. The restriction on a 2% agarose gel, stained with ethidium bromide, and visualized over a UV light to determine the genotypes CC (90bp, 50bp and 40bp fragment); CT (140bp, 90bp, 50bp and 40bp); TT (140bp and 40bp) for -786C>T and GG (320bp and 137bp fragment); GT (457bp, 320bp and 137bp); TT (457bp) for 894G>T. The *Homo sapiens* nitric oxide synthase 3 (endothelial cell – NOS3) mRNA sequence is registered in the EMBL data base as GI:48762674 (GenBank accession number: NM\_000603). Genotyping was performed in a blinded fashion, i.e., investigators were unaware of patient data.

### **Statistical analysis:**

Statistical calculations were carried out using the statistical package SPSS 11.5 (SPSS, Chicago, USA). Continuous variable results are expressed as mean ± standard deviation (SD) and the categorical variables as frequencies and percents. Non-normally distributed scalar variables were analyzed as non-parametric using Kruskal–Wallis and Mann–Whitney tests. For categorical data, we used Pearson Chi-squared test. To test Hardy–Weinberg equilibrium, the Chi-squared test was used. All reported P values are two-tailed, with 0.05 or less taken as significant.

## **RESULTS**

Two hundred and seven critically ill patients were included in the study, 134 of them developed sepsis (64.7%, 134/207) and 93 of them had sepsis and septic

shock (44.9%, 93/207). The Table 1 illustrates a complete description of the 207 critically ill patient presented in three groups; all critically ill patients (n=207), all patients with sepsis (n=134), and only patients with septic shock (n=93). Dates of gender, age, APACHE II and SOFA-1 scores, organ dysfunction and failure, length of stay in ICU and post-ICU (hospital) can be visualized at Table 1. The same can be seemed to mortality rates.

We evaluate the influence of -786C>T and 894G>T SNPs in the same three patients groups mentioned above, in addition to 149 healthy subjects, to analyzed the genetic susceptibility to critical illness, sepsis, and septic shock (Figure 1). Figure 1-A shows that there were significant differences among rates of three -786C>T genotypes (-786CC, -786CT, -786TT) when we compared all critically ill patients and healthy subjects (Chi-square test,  $P=0.031$ ), all septic patients and healthy subjects (Chi-square test,  $P=0.037$ ), and only patients with septic shock and healthy subjects (Chi-square test,  $P=0.013$ ). When studied simultaneously, the overall presence of -786CC homozygotes and -786CT heterozygotes was significantly higher in critically ill patients (58%; 120/207) than in healthy individuals (46%; 68/149) ( $P=0.021$ , OR=1.64, CI<sub>95%</sub>: 1.05-2.57), as well as in septic patients (60%; 81/134) than in healthy individuals ( $P=0.012$ , OR=1.82, CI<sub>95%</sub>: 1.10-3.01). Figure 1-B confirms statistical associations among rates of -786C>T alleles; correspondingly there was more -786C allele among septic patients (37%; 99/268) than in healthy subjects (29%; 86/298) ( $P=0.041$ , OR=1.44, CI<sub>95%</sub>: 1.00-2.09), as well as in septic patients (39%; 73/186) than in healthy individuals ( $P=0.018$ , OR=1.59, CI<sub>95%</sub>: 1.06-2.39).

Figure 1-C illustrates significant differences among rates of three 894G>T genotypes (894GG, 894GT, 894TT) when we contrasted all critically ill patients and the healthy subjects (Chi-square test,  $P=0.015$ ), and septic patients and healthy subjects (Chi-square test,  $P=0.031$ ). The global presence of 894TT homozygotes

was significantly higher inside critically ill patients group (25%; 51/207) than in healthy individuals group (14%; 17/149) when compared with 894GT heterozygotes and 894GG ( $P=0.017$ ,  $OR=0.49$ ,  $CI_{95\%}$ : 0.25-0.92). The same to septic shock patients group (25%; 24/93) against healthy individuals group ( $P=0.024$ ,  $OR=0.46$ ,  $CI_{95\%}$ : 0.22-0.96). The Figure 1-D specifies differences among rates of 894G>T alleles, but it has not statistical significance. To the entire evaluation presented in Figure 1 all statistical differences with  $P<0.05$  was showed, and other associations did not find significant differences.

Finally, we analyzed the isolated effect of variants -786C, -786T, 894G, and 894T in critically ill, septic, and septic shock patients, as well as in the control group (Figure 2). In this evaluation we segregated only the four classes of double homozygotes: -786CC/894GG; -786CC/894TT; -786TT/894GG; -786TT/894TT. The Figure 2 shows that there were significant differences among rates of four double homozygotes when we compared all critically ill patients and the healthy subjects (Chi-square test,  $P<0.001$ ), and all septic patients and the healthy subjects (Chi-square test,  $P<0.001$ ). When we studied separately, the overall presence of -786CC/894TT was significantly higher in critically ill patients (15%; 31/207) than in healthy individuals (4%; 5/124) ( $P=0.002$ ,  $OR=4.19$ ,  $CI_{95\%}$ : 1.50-12.66), as well as in septic patients (15%; 20/134) than in healthy individuals ( $P=0.003$ ,  $OR=4.18$ ,  $CI_{95\%}$ : 1.42-13.18). In contrast, the presence of opposite double homozygote -786TT/894GG was significantly higher in healthy individuals (15%; 18/124) than critically ill patients (5%; 10/207) ( $P=0.002$ ,  $OR=0.30$ ,  $CI_{95\%}$ : 0.12-0.71), or in septic patients (5%; 7/134) ( $P=0.011$ ,  $OR=0.32$ ,  $CI_{95\%}$ : 0.12-0.86).

We found that total genotype and allele frequencies (patients and healthy subjects) to both SNPs were at Hardy-Weinberg equilibrium (-786C>T:  $CC=0.11$ ,  $CT=0.42$ ,  $TT=0.47$  and  $C=0.32$ ,  $T=0.68$ ; Pearson Chi-square test  $P=0.819$ ; 894G>T:

GG=0.24, GT=0.55, TT=0.21 and G=0.52, T=0.48; Chi-square test  $P=0.417$ ). The isolated frequencies from patients' or healthy volunteers' samples did not differ from the values expected by the Hardy–Weinberg model too.

The effect of -786C>T and 894G>T SNPs was estimated during the first ICU week in order to analyze the genetic susceptibility in the course of critical clinical condition, in organ dysfunction, and in organ failure (Figure 3). Figure 3-A shows that among -786C>T genotypes there was a significant difference in SOFA scores throughout the first ICU week; -786CC homozygotes present higher SOFA score means ( $7.67\pm 0.41$ ) when compared to -786CT ( $6.31\pm 0.22$ ) and -786TT ( $6.17\pm 0.31$ ) (Kruskal-Wallis test,  $P=0.001$ ). Figures 3-B illustrate the genotypes frequencies to -786C>T SNP examining organ condition of the six systems during a first week from ICU admission. We consider two categories; organ dysfunction when system SOFA scores were only 1 or 2, and organ failure when they were, at least, 3 or 4. In this evaluation none significant association was found (Pearson Chi-square test,  $P=0.969$ ), that explicit there was similar rates of organ dysfunction and failure among genotypes. Figure 3-C demonstrates that we found statistical association among 894G>T genotypes; SOFA means were higher in the 894GG homozygotes and 894GT heterozygotes group ( $6.68\pm 0.30$ ) when compared with 896TT ( $6.02\pm 0.48$ ) (Kruskal-Wallis test,  $P=0.028$ ). Figure 3-D shows the genotype frequencies to 894G>T SNP without significant association (Pearson Chi-square test,  $P=0.470$ ).

The frequencies of -786C>T and 894G>T genotypes were determined considering the mortality rates. We evaluate the effect of SNPs in the three patients groups: all critically ill patients ( $n=207$ ), all septic patients ( $n=134$ ), only patients with septic shock ( $n=93$ ). Figure 4 demonstrates that there were no significant differences among -786C>T (Figure 4-A) and 894G>T (Figure 4-B) genotypes in the mortality rates of patients monitored daily during their entire ICU and post-ICU (hospital) stay

from the ICU admission to a maximum of 224 days. The *P* values are shown in the figure legend. Hospital deaths showed similar results; -786CC (63%, 14/22), -786CT (41%, 40/98), -786TT (49%, 43/87) (Chi-square test, *P*=0.080), and 894GG (48%, 28/54), 894GT (48%, 49/102), 894TT (41%, 21/51) (Chi-square test, *P*=0.678).

## DISCUSSION

The excessive production of NO in organ dysfunction, sepsis, and endotoxic shock is especially well known from iNOS gene induction [12-14]; however there is a modest knowledge about the effect of endothelial isoform of NO syntase (eNOS) during these critical clinical conditions. Therefore, the aim of our study was to supply information to contribute in the understanding of the role of eNOS.

We evaluated the influence of the -786C>T and 894G>T eNOS SNPs in critical ill patients admitted on intensive care unit (ICU) and contrasted with healthy volunteers. Our sample from southern Brazil, mainly of European ancestry (descendants from Italian, German, and Portuguese origins) was large (n=356 individuals) and homogeneous since the genotype and allele frequencies were at Hardy–Weinberg equilibrium. When compared to previous published studies from different populations, our -786C>T and 894G>T genotype and allele frequencies were more similar to populations with European ethnic component than to Asiatic populations (Table 2). However, the frequency for 894T allele (896T=0.48) was more elevated if compared to those reported by authors who also analyze subjects from southern Brazil [894T=0.36 (30); 894T=0.15 (31)] (Table 2).

We found significantly higher frequency of -786C allele carriers among critically ill and septic patients when compared with healthy subjects. The same allele was associated significantly with higher organ dysfunction measured by SOFA

scores during first ICU week, although -786C carriers was not related with different mortality rates. We found evidence that polymorphic -786C eNOS variant can be independently and significantly associated with elevated risk to reach critical clinical and septic conditions. In parallel, we detected more 894TT homozygotes among critically ill and with septic shock patients in contrast with healthy individuals. Surprisingly, the 894G, but not 894T, allele carriers had significantly higher SOFA scores to organ dysfunction, even though the inheritance of this allele did not affect the mortality. We interpreted this result considering that 894TT homozygotes have greater risk to reach critical clinical and septic shock conditions; however when a patient is critically ill the isled influence of 894G allele is detrimental and can lead to adverse effects. The conflicting effect of 894G>T SNP in organ dysfunction also can be explain by a cumulative effect of two SNPs or because that this polymorphism is in linkage disequilibrium with other functional variants within eNOS gene (e.g. polymorphic variation into intron 4) or another gene.

The -786C>T eNOS SNP was originally reported in patients with coronary vasospasm which -786C variant decreased promoter activity by  $\cong 50\%$ , suggesting that the L-arginine/NO pathway does not function correctly in -786C carriers [16, 32]. Indeed, studies in southern Brazilian population confirm that -786C allele predispose to coronary artery disease (CAD) [33], and can indicate a tendency for development of severe clinical course of sickle cell disease [31]. Barbosa F Jr *et al.*, 2004 supported that this promoter variant may be especially relevant for the development of CAD in Caucasians [18].

The 894T allele may predispose to CAD [21], hypertension [33], atherosclerosis [34], or stroke [35], suggesting that 894TT homozygote or 894T carriers could produce a significant decrease in amount of eNOS or enzymatic activity. This relationship between pathological phenotype and 894G>T SNP has

been strict but still inconsistent. While it was reported that eNOS protein with aspartic acid in position 298 (resultant from 894T allele expression) has enhanced susceptibility to intracellular proteolytic cleavage in endothelial cell lines compared with eNOS protein with glutamic acid (894G allele) [36], this substitution does not seem modulate eNOS activity *in vivo* [37]. Likewise, it has been reported that neither -786C>T or 894G>T eNOS SNPs significantly influenced plasma nitrite / nitrate concentrations and the risk of ischemic heart disease in a large cohort of middle-aged men [36]. However, the functional importance of the diminished eNOS expression was revealed by the finding that serum nitrite / nitrate levels among individuals carrying the -786C allele were significantly lower than among those without this variant [19].

Even there were a largest conflicting findings, -786C>T and 894G>T eNOS SNPs are important genetic markers and it is necessary to explore and clarify their putative effects in endothelial NO function.

Our data showed a significant higher presence of -786CC/894TT among critically ill and septic patients than in healthy individuals, and the opposite double homozygote 786TT/894GG with higher frequency among healthy volunteers if compared with patient group. Looking for a single interpretation to inheritance of two polymorphic variants in our sample, we can consider that if is proper the proposition that -786C and 894T alleles interfere respectively in the synthesis and activity of eNOS, we could explain our data supporting that patients with -786C and 894T alleles have greater susceptibility to attain critical state because the inhibition of the constitutively expressed isoform of NOS, which is essential to maintain organ perfusion, may be detrimental [38], starting to be an important linked co-morbidity factor.

Regularly large quantities of NO produced by both eNOS and iNOS may be beneficial to reduced the tissue damage associated with sepsis, septic shock and organ dysfunction septic shock because it would reduce the tissue microcirculatory hypoperfusion that is a dominant factor to these pathogenesis [12, 39]. Since iNOS is not expressed homogeneously in organ systems, areas lacking iNOS have less NO-induced vasodilation and become underperfused [40]. In this case NO produced by eNOS in regularly large quantities may be fundamental. Microcirculatory function is the main prerequisite for adequate tissue oxygenation and thus organ function. Its purpose is to transport oxygen and nutrients to tissue cells, ensure adequate immunological function and, in disease, to deliver therapeutic drugs to target cells. Thus, the microcirculatory mechanisms regulated by endothelium is a defining factor in the pathophysiology of sepsis [41].

Because -786C>T and 894G>T SNPs seem directly to interfere in or to be in linkage disequilibrium with the eNOS differential function, affecting in consequence the integrity of vascular endothelium, the study of these genetic variants can explain, at least in part, the patients susceptibility to sepsis, septic shock and severe organ dysfunction. The presence of different haplotype arrangements of eNOS SNPs may thus be predictive in an intensive care unit to the patients' outcome.

The pathogenesis of sepsis, septic shock, and organ dysfunction are multifactorial and incompletely understood. The identifying of more homogeneous genetic subgroups of patients may be a fine strategy to study specifically targeted therapeutic interventions. Based on the present and previous observations, we propose that -786C>T and 894G>T eNOS SNPs can be considered as a potential prognostic genetic marker for sepsis, shock septic, and severe organ dysfunction, and an independent and significant risk factor to critical clinical and septic conditions.



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Table 1. Demographic and clinical characteristics of patients admitted in intensive care unit.

|                                     | Patients admitted in ICU |             |              |
|-------------------------------------|--------------------------|-------------|--------------|
|                                     | All Critically ill       | All septic  | Septic shock |
| Frequency [N (%)]                   | 207 (100)                | 134 (64.7)  | 93 (44.9)    |
| Female [N (%)]                      | 98 (47.3)                | 61 (45.5)   | 40 (43.0)    |
| Age [mean (SD)]                     | 54.8 (19.7)              | 56.0 (18.4) | 57.3 (17.2)  |
| APACHE II Score [mean (SD)]         | 20.23 (7.8)              | 21.7 (7.1)  | 21.97 (6.9)  |
| SOFA-1 [mean (SD)]                  | 6.56 (3.4)               | 7.52 (3.4)  | 8.55 (3.1)   |
| With only organ dysfunction [N (%)] | 20 (9.7)                 | 12 (8.9)    | 9 (9.7)      |
| With organ failure [N (%)]          | 187 (90.3)               | 122 (91.0)  | 84 (90.3)    |
| ICU LOS [median (min/max)]          | 13 (0/82)                | 15 (0/82)   | 15 (0/82)    |
| ICU+H LOS [median (min/max)]        | 35 (4/224)               | 36 (6/122)  | 36 (6/118)   |
| Mortality in ICU [N (%)]            | 73 (35.3)                | 67 (50.0)   | 58 (62.4)    |
| Mortality in ICU+H [N (%)]          | 97 (46.8)                | 78 (58.2)   | 63 (67.7)    |

Two hundred and seven critically ill patients included in the study presented in three groups: all critically ill patients (n=207), all septic patients of them (n=134), and only patients with septic shock (n=93). N, number of patients; SD, standard deviation of the mean; ICU: Intensive care unit; APACHE II: Acute Physiology And Chronic healthy Evaluation II score obtained on ICU admission day; SOFA-1: Sequential Organ Failure Assessment score obtained daily during the first week from the ICU admission (day 1); Organ dysfunction: when six systems SOFA scores were only 1 or 2 during a first ICU week; Organ failure: when six systems SOFA scores were, at least once, 3 or 4 during a first ICU week. ICU LOS: Length of stay at intensive care unit, measured by number of days; ICU+H LOS: Total length of stay at ICU and post-ICU (hospital), measured by number of days; Mortality: outcome of patients in total length of stay at ICU and ICU+H.

Table 2: Characteristics of studies reporting -786C>T (A) and 894G>T (B) allele frequencies in different populations.

| <b>(A) Author, Year</b>          | <b>n</b> | <b>-786C<br/>allele</b> | <b>-786T<br/>allele</b> | <b>Local</b>              |
|----------------------------------|----------|-------------------------|-------------------------|---------------------------|
| Nakayama <i>et al.</i> , 2000    | 554      | 0.09                    | 0.91                    | Japan                     |
| Kim <i>et al.</i> , 2006         | 369      | 0.10                    | 0.90                    | Korea                     |
| Jia <i>et al.</i> , 2006         | 402      | 0.13                    | 0.87                    | China                     |
| Sandrin <i>et al.</i> , 2006b    | 178      | 0.28                    | 0.72                    | black subjects - Brazil   |
| Sampaio <i>et al.</i> , 2006     | 232      | 0.31                    | 0.69                    | São Paulo, Brazil         |
| This study, 2007                 | 356      | 0.32                    | 0.68                    | Rio Grande do Sul, Brazil |
| Sylos <i>et al.</i> , 2006       | 273      | 0.34                    | 0.66                    | Brazil                    |
| Sandrin <i>et al.</i> , 2006a    | 400      | 0.34                    | 0.66                    | Brazil                    |
| Sandrin <i>et al.</i> , 2006b    | 225      | 0.40                    | 0.60                    | white subjects - Brazil   |
| Alvarez <i>et al.</i> , 2000     | 470      | 0.40                    | 0.60                    | Spain                     |
| Ordonez <i>et al.</i> , 2000     | 404      | 0.41                    | 0.59                    | Spain                     |
| Fatini <i>et al.</i> , 2003      | 1014     | 0.43                    | 0.57                    | Italy                     |
| Rossi <i>et al.</i> , 2003       | 185      | 0.46                    | 0.54                    | Italy                     |
| <b>(B) Author, Year</b>          | <b>n</b> | <b>894G<br/>allele</b>  | <b>894T<br/>allele</b>  | <b>Local</b>              |
| This study, 2007                 | 331      | 0.52                    | 0.48                    | Rio Grande do Sul, Brazil |
| Hingorani <i>et al.</i> , 1999   | 868      | 0.63                    | 0.37                    | England                   |
| Fatini <i>et al.</i> , 2003      | 1014     | 0.64                    | 0.36                    | Italy                     |
| Yamamoto <i>et al.</i> , 2007    | 156      | 0.64                    | 0.36                    | Rio Grande do Sul, Brazil |
| Rossi <i>et al.</i> , 2003       | 185      | 0.65                    | 0.35                    | Italy                     |
| Markus <i>et al.</i> , 1998      | 597      | 0.65                    | 0.35                    | England                   |
| MacLeod <i>et al.</i> , 1999     | 658      | 0.65                    | 0.35                    | Scotland                  |
| Poirier <i>et al.</i> , 1998     | 1107     | 0.66                    | 0.34                    | France / Northern Ireland |
| Jachymova <i>et al.</i> , 2001   | 323      | 0.67                    | 0.33                    | Prague, Czech Republic    |
| Elbaz <i>et al.</i> , 2000       | 920      | 0.67                    | 0.33                    | France                    |
| Sandrin <i>et al.</i> , 2006b    | 225      | 0.69                    | 0.31                    | white subjects - Brazil   |
| Sampaio <i>et al.</i> , 2006     | 232      | 0.70                    | 0.30                    | São Paulo, Brazil         |
| Sandrin <i>et al.</i> , 2006a    | 400      | 0.71                    | 0.29                    | Brazil                    |
| Sylos <i>et al.</i> , 2006       | 273      | 0.72                    | 0.28                    | Brazil                    |
| Sandrin <i>et al.</i> , 2006b    | 178      | 0.76                    | 0.24                    | black subjects - Brazil   |
| Vargas <i>et al.</i> , 2005      | 173      | 0.85                    | 0.15                    | Rio Grande do Sul, Brazil |
| Kim <i>et al.</i> , 2006         | 369      | 0.90                    | 0.10                    | Korea                     |
| Shoji <i>et al.</i> , 2000       | 376      | 0.90                    | 0.10                    | Japan                     |
| Yoshimura T <i>et al.</i> , 2001 | 205      | 0.90                    | 0.10                    | Japan                     |
| Hibi <i>et al.</i> , 1998        | 583      | 0.91                    | 0.09                    | Japan                     |
| Yoshimura T <i>et al.</i> , 2000 | 322      | 0.91                    | 0.09                    | Japan                     |
| Yoshimura <i>et al.</i> , 1998   | 213      | 0.92                    | 0.08                    | Japan                     |
| Shimasaki <i>et al.</i> , 1998   | 892      | 0.92                    | 0.08                    | Japan                     |
| Miyamoto <i>et al.</i> , 1998    | 868      | 0.92                    | 0.08                    | Japan                     |
| Kato <i>et al.</i> , 1998        | 1062     | 0.92                    | 0.08                    | Japan                     |
| Yoshimura M <i>et al.</i> , 2000 | 546      | 0.92                    | 0.08                    | Japan                     |

n: number total of studied subjects (cases + controls).



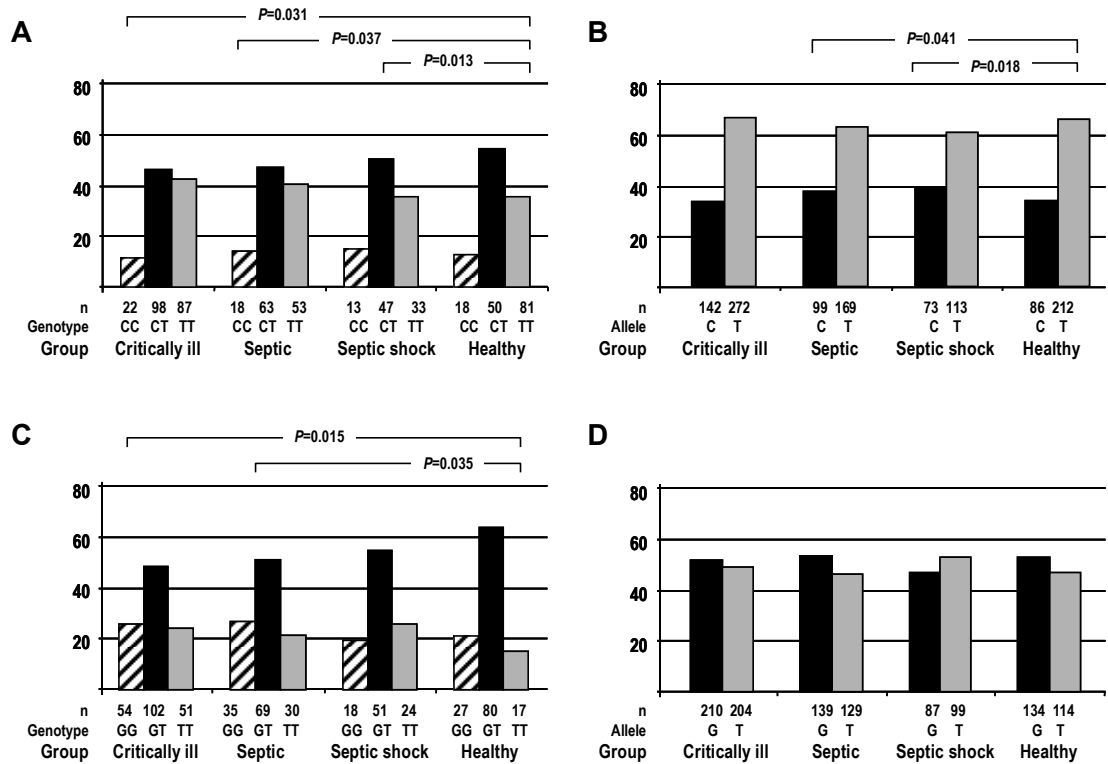


Figure 1. -786C>T and 894G>T SNPs frequencies in the three patient categories and in healthy subjects. A and B: Percentiles of -786C>T genotypes and alleles, respectively, of critically ill patients (n=207), septic patients (n=134), patients with septic shock (n=93), and in healthy subjects (n=149). C and D: Percentiles of 894G>T genotypes and alleles, respectively, of critically ill patients (n=207), septic patients (n=134), patients with septic shock (n=93), and in healthy subjects (n=124). Genotypic and allele frequencies are indicated as percents and numbers (n). Genotype and allele classes are indicated below the colored bars. Statistical associations were calculated by Chi-square test. Only the significant differences are shown ( $P < 0.05$ ). Other comparison found no significant differences.

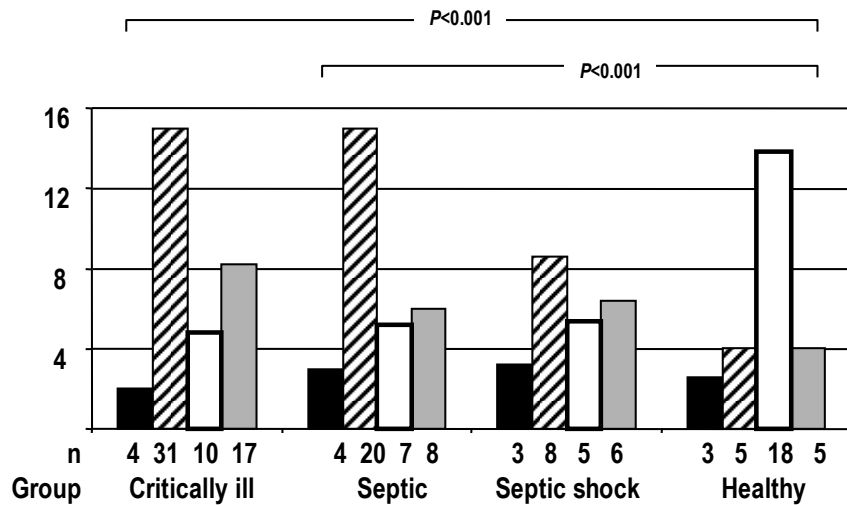


Figure 2. Percentiles of double homozygote classes in the three patients' categories and in healthy subjects. The four classes of double homozygotes are colored as: -786CC/894GG: black; -786CC/894TT: striped; -786TT/894GG: white; -786TT/894TT: gray. All critically ill patients (n=207), all septic patients (n=134), only patients with septic shock (n=93), healthy subjects (n=124). Frequencies are indicated as percents and numbers (n). Statistical associations were calculated by Chi-square test. Only the significant differences are shown ( $P < 0.05$ ). Other comparison found no significant differences.

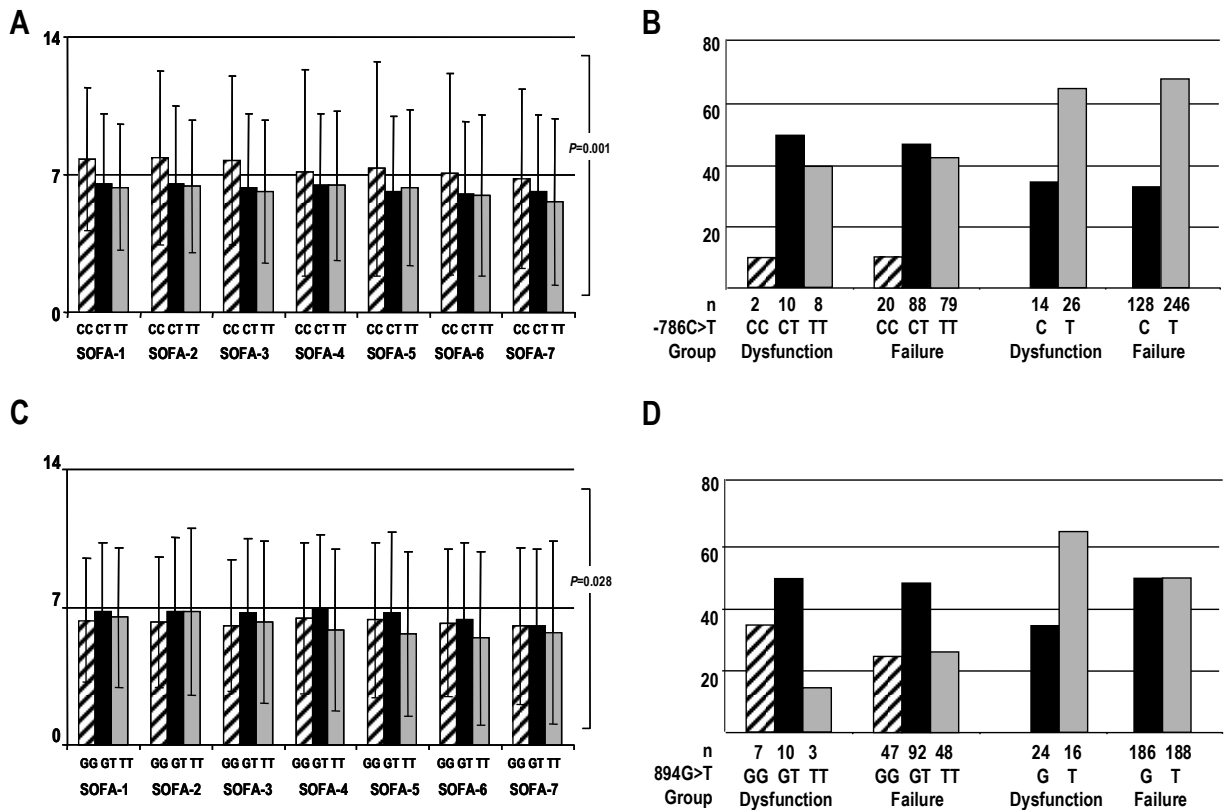


Figure 3. Means of SOFA scores, organ dysfunction, and organ failure frequencies by -786C>T and 894G>T genotypes during a first ICU week. A: Mean and standard deviation of daily SOFA score by -786C>T genotypes. B: organ dysfunction and organ failure frequencies by -786C>T genotypes. C: Mean and standard deviation of daily SOFA score by 894G>T genotypes. D: organ dysfunction and organ failure frequencies by 894G>T genotypes. Dysfunction: patients with only daily SOFA scores equal to 1 or 2 during ICU first week stay. Failure: patients with any SOFA scores equal to 3 or 4 during ICU first week stay. Statistical associations were calculated in A and B by Kruskal-Wallis test, and in C and D by Chi-square test. Genotypic and allele frequencies are indicated as percents and numbers (n). Genotype and allele classes are indicated below the colored bars. Only the significant differences are shown ( $P>0.05$ ). Other comparison found no significant differences.

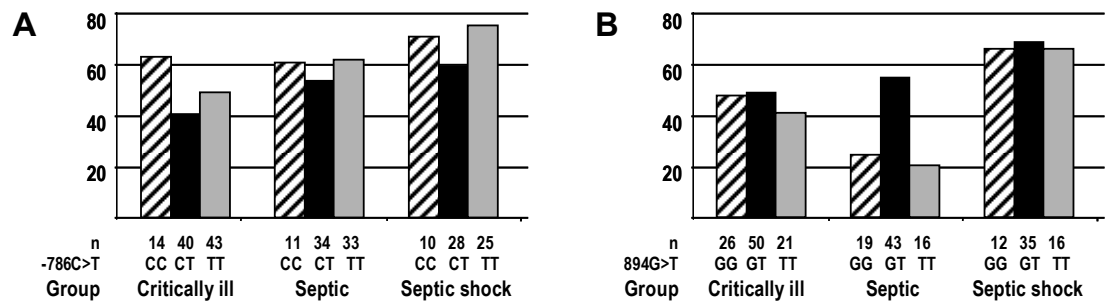


Figure 4. Mortality rates by -786C>T and 894G>T SNPs genotypes. A: Percentiles of deceased by -786C>T genotypes of all critically ill patients (n=207;  $P=0.080$ ), all septic patients (n=134;  $P=0.574$ ), only patients with septic shock (n=93;  $P=0.234$ ). B: Percentiles of deceased by 894G>T genotypes of all critically ill patients (n=207;  $P=0.678$ ), all septic patients (n=134;  $P=0.545$ ), only patients with septic shock (n=93;  $P=0.980$ ). Genotypic and allele frequencies are indicated as percents and numbers (n). Genotype and allele classes are indicated below the colored bars. Statistical associations were calculated by Chi-square test.

## CONSIDERAÇÕES FINAIS

A condição crítica de um paciente, a gravidade de suas disfunções orgânicas, a evolução para sepse, para o choque séptico ou para o óbito são características complexas determinadas pela interferência de múltiplos fatores. Centenas ou milhares de fatores externos e de fatores intrínsecos determinarão simultaneamente a susceptibilidade para e o desfecho de uma condição clínica patológica crítica. Cada fator externo, e cada um dos genes herdados, exercerá isoladamente um pequeno efeito, mas que cumulativamente, no somatório com os demais, definirá a evolução do quadro clínico. O estado de saúde, o prognóstico e o desfecho de pacientes em condições críticas de saúde estão, portanto, também relacionados à herança genética que indivíduo recebeu.

Ainda que centenas de genes estejam envolvidos na modulação fisiológica final de um indivíduo com um quadro patológico complexo, aqueles genes que interferem em múltiplos sistemas e órgãos são sempre muito decisivos. Trabalhar com as variantes polimórficas do gene que codifica para a enzima que sintetiza constitutivamente o óxido nítrico no endotélio vascular (eNOS; sintase do óxido nítrico endotelial) foi um esforço na busca de desvendar parte do pequeno efeito que a herança genética pode ter no momento da doença crítica.

Como apresentado ao longo deste trabalho, nosso objetivo foi responder à pergunta que questiona se variantes polimórficas do gene que codifica para a eNOS poderiam influenciar a susceptibilidade à sepse, ao choque séptico e às disfunções orgânicas em pacientes críticos. Nós verificamos que, pelo menos em parte, a susceptibilidade à sepse ao choque séptico e à gravidade das disfunções orgânicas pode ser decorrente da herança das variantes polimórficas da eNOS.

Baseado em registros prévios, pode-se considerar que as variantes polimórficas -786C>T e 894G>T estão ligadas ou interferem diretamente na função diferencial da eNOS e isso afeta, conseqüentemente, a manutenção da integridade do endotélio vascular. Sendo assim, nossos resultados mostram que a herança diferencial de alelos destes SNPs (*single nucleotide polymorphism*) pode ser, de fato, um fator de risco independente e significativo de susceptibilidade para a gravidade da condição clínica crítica, para a evolução da sepse e do choque séptico. A presença de diferentes arranjos haplotípicos pode ser também preditiva no desfecho de pacientes internados em uma unidade de terapia intensiva.

Em conclusão, baseado-nos em observações prévias e no presente estudo, nós propomos que as variantes polimórficas -786C>T e 894G>T do gene que codifica para a eNOS podem ser considerados potenciais marcadores genéticos para a sepse, choque séptico e para gravidade das disfunções orgânicas em pacientes em estado crítico de saúde.